

SEP 22 2006

Attorney Docket No. 05014/LH

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant : Kaoru SOMEYA  
Serial No. : 10/521,618  
Filed : January 14, 2005  
For : RADIO WAVE RECEPTION  
DEVICE AND RADIO WAVE  
CLOCK  
Customer No.: 01933  
Art Unit : 2681  
Examiner : Not Yet Assigned  
Confirm. No.: 2629

CERTIFICATE OF FACSIMILE  
TRANSMISSION  
TO NO. 1-571-273-8300

TOTAL PAGES: 59

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*Diane J. Hegstrom*  
Diane J. Hegstrom

Dated: September 22, 2006

In the event that this Paper is late filed,  
and the necessary petition for extension  
of time is not filed concurrently  
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Petition for the requisite extension of  
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fee required in connection with this  
Paper to Account No. 06-1378.

**INFORMATION DISCLOSURE STATEMENT  
WITH STATEMENT UNDER 37 CFR 1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

Submitted herewith are the following:

- (1) Copy of a Japanese Office Action dated July 11, 2006  
(and English translation thereof) issued in counter-  
part Japanese Patent Application No. 2002-233512, the  
priority of which is claimed.
- (2) Copy of a Japanese Office Action dated July 11, 2006  
(and English translation thereof) issued in counter-  
part Japanese Patent Application No. 2002-245460, the  
priority of which is also claimed.
- (3) Copies of cited publications (except U.S. patents and  
patent publications); and
- (4) Form PTO/SB/08A.

09/25/2006 MBINAS 00000012 10521618  
01 FC:1006

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English translations of said Japanese Office Actions are  
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explanation of relevance for any non-English language publications cited therein (MPEP 609.04(a) III).

English language Abstracts are provided for each of the cited documents.

STATEMENT UNDER 37 CFR 1.97(e) (1)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from foreign patent offices in counterpart foreign applications not more than three months prior to the filing of the present Information Disclosure Statement. Said Communications bear a mailing date of July 11, 2006. Therefore, the filing of this Information Disclosure Statement is timely under the provisions of 37 CFR 1.97(e) and does not require a fee.

It is requested that an initialed copy of the Form PTO/SB/08A be returned to indicate that the publications listed therein have been considered and made of record.

Respectfully submitted,

Leonard Holtz, Esq.  
Reg. No. 22,974

Encls.

Dated: September 22, 2006

FRISHAUF HOLTZ GOODMAN & CHICK, P.C.  
220 FIFTH AVENUE  
NEW YORK, N.Y. 10001-7708  
Tel. No. (212) 319-4900  
Fax No. (212) 319-5101  
LH:djh

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PTO/SB/08A (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number		10/521,618			
				Filing Date		January 14, 2005			
				First Named Inventor		Kaoru SOMEYA			
				Group Art Unit		2681			
				Examiner Name		Not Yet Assigned			
Sheet	1	of	1	Attorney Docket Number		05014/LH			
<b>U. S. PATENT DOCUMENTS</b>									
Exam. Initia <sup>1</sup>	Cite No <sup>2</sup>	Document Number	Kind Code <sup>3</sup>	Name of Patentee or Applicant		Publication Date MM-DD-YYYY	Relevant Portion		
		6,370,365	B1	CALLAWAY, Jr., et al		04-09-2002			
<b>FOREIGN PATENT DOCUMENTS</b>									
Exam Initia <sup>1</sup>	Cite No <sup>2</sup>	Offc <sup>3</sup>	Document Number <sup>4</sup>	Kind Code <sup>5</sup>	Name of Patentee or Applicant		Publication Date MM-DD-YYYY	Relevant Portion	T <sup>6</sup>
		JP	05-300044	A	NEC CORP.		11-12-1993		x
		JP	06-152665	A	MATSUSHITA ELECTRIC IND CO LTD		05-31-1994		x
		JP	10-200488	A	MITSUBISHI ELECTRIC CORP		07-31-1998		x
		JP	11-088219	A	MATSUSHITA ELECTRIC IND CO LTD.		03-30-1999		x
			x Includes English language Abstract						
Examiner Signature					Date Considered				

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Place a check here if English translation is attached.

DATE MAILED: September 22, 2006

SEP 22 2006

Attorney Docket No. 04363/LH


**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): Fumio KIDA et al  
Serial No. : 10/866,154  
Filed : June 10, 2004  
For : CHIP-TYPE SOLID  
ELECTROLYTIC CAPACITOR  
AND METHOD OF PRODUCING  
THE SAME  
Customer No.: 01933  
Art Unit : 2823  
Examiner : Thanh V. Pham  
Confirm. No.: 3296

CERTIFICATE OF FACSIMILE  
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TOTAL PAGES: **22**

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Diane J. Hegstrom

Dated: September 22, 2006

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**RESUBMISSION OF INFORMATION  
DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97(d) WITH STATEMENT  
UNDER 37 CFR 1.97(e) AND FEE (\$1.17(p))**

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P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

**Resubmitted** herewith is the attached redated Information  
Disclosure Statement (17 pages), which was previously filed in  
the USPTO on September 18, 2006, along with a credit card  
payment Form 2038 in the amount of \$180.00. These documents  
were originally submitted via facsimile on September 18, 2006.

Please see the attached REPLY FACSIMILE TRANSMISSION sheets  
indicating that pages 1-3 including the credit card payment form  
authorizing a fee of \$180.00 had reached the USPTO.

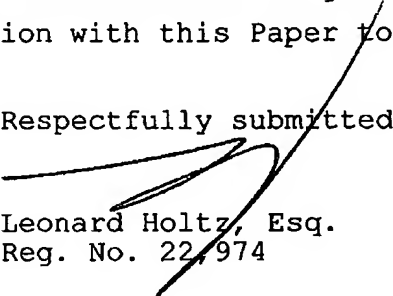
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We checked the PAIR system on September 21, 2006, and some of the pages of the IDS filed September 18, 2006 appear to be missing. Therefore, we are resubmitting the complete IDS.

To the extent not tendered by the previous credit card payment of September 18, 2006, authorization is given to charge any additional fees in connection with this Paper to Account No. 06-1378.

Respectfully submitted,



Leonard Holtz, Esq.  
Reg. No. 22,974

Encls.

Dated: September 22, 2006  
FRISHAUF HOLTZ GOODMAN & CHICK, P.C.  
220 FIFTH AVENUE  
NEW YORK, N.Y. 10001-7708  
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Attorney Docket No. 04363/JH

IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE

Applicant(s): Fumio KIDA et al

Serial No. : 10/866,194

Filed : June 10, 2004

For : CHIP-TYPE SOLID  
ELECTROLYTIC CAPACITOR  
AND METHOD OF PRODUCING  
THE SAME

Customer No.: 01933

Art Unit : 2023

Examiner : Thanh V. Bham

Confirm. No.: 3296

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97(d) WITH STATEMENT  
UNDER 37 CFR 1.97(e) AND FEE (\$1.17(c))

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S I R :

Submitted herewith are the following:

- (1) Copy of a Korean Office Action (and English translation thereof) dated July 24, 2006, issued in a counterpart Korean application;
- (2) Copy of the cited publication;
- (3) Form PTO/SB/08A; and
- (4) Form 2038 to cover the USPTO fee of \$180.00 as set forth under 37 CFR 1.17(p) for filing an IDS at this stage of prosecution (after allowance and before payment of the Issue Fee).

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TOTAL PAGES: 17

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on the date noted below:

*Thanh V. Bham*  
Director

Dated: September 18, 2006

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necessary fee for the extension of time is not filed  
promptly (or with, please consider this as a  
warning for the required submission of time, and in  
the event not rendered by credit card payment,  
authorizations to charge the extension fee, or any  
other fee required in connection with this Paper is  
Account No. 06-1378.

PAGE 01 \* RCVD AT 9/22/2006 12:33:39 PM [Eastern Daylight Time] \* SVR:USPTO-EFXXRF-2/11 \* DNIS:2738300 \* CSID:+1 212 319 5101 \* DURATION (mm-ss):38-22



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Page

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발송번호: 9-5-2003-04178520 수신: 서울세 중구 서소문동 41-3, 대관창공인물  
발송일자: 2006.07.24 3층(남영도남국지적지정사무소)  
발송일자: 2006.07.24 남영선 100-013

**복 히 청 COPY**

**의견제출통지서**

출 원 인 명 칭 연이치 도원 가부시계가이시 외 (영 (출원인코드: 51960024400))  
주 소 일본 히가시청 신도시 다이하쿠구 고리야마 8조에 7-1  
대 리 인 명 칭 남영선  
주 소 서울세 중구 서소문동 41-3, 대관창공인물  
3층(남영도남국지적지정사무소)

출 원 번 호 10-2004-0044028  
발 명 외 명 칭 직경 고정 전계압 커패시터 및 그 제조 방법

이 출원에 대한 심사결과 아래와 같은 기판이유가 있어 특허법 제42조의 규정에 의함여  
이를 유지하오나 의견이 있거나 보정할 권유하는 상기 제42조제1항의 의견서(특허법  
시정규칙 별지 제25호제2서식) 또는/와 보정서(특허법시정규칙 별지 제15호제1서식)를 제출하여  
주시기 바랍니다. (상기 제42조제1항의 규정에 따라 해당 출원의 일부를 수정할 수 있으며, 이  
신청에 대하여 별도의 기간연장신청은 하지 않습니다.)

[ 이유 ]

이 출원의 특허청구범위 전항에 기재된 명단은 그 출원전에 아 일명이 속하는 기술분야에서  
통상의 지식을 가진 자가 이권에 미치는 것에 의하여 용이하게 달성할 수 있는 것으로서 특  
허법 제42조제2항의 규정에 의함여 특허를 받을 수 없습니다.

이 출원을 특허청구범위 제1항의 기재가 아래와 지적한 내용 같이 불비하여 특허법 제42조  
제4항제2호의 규정에 의함 요건을 충족하지 못하므로 특허를 받을 수 없습니다.

[ 이리 ]

1. 본원명원은 고정 고정전계압 커패시터 및 그 제조방법에 관한 것으로, 캐소드와 캐소드  
단지가 형성되는 캐소드 단자 부분에 브루 콘이나, 찾아코를 형성하여 전압강도를 증가시키  
는 것을 주요 특징으로 하고 있으나, 이러한 것은 전부터 인정명인 한국특허청에 091759  
에서 등록된 원의 제1항과 동일부 시점이 리이드 프레임 및부프레임 양자 출구 구비되고, 출  
진지를 양자 등에 고정하여 전계압으로서 일관성을 달성시키는 공지의 기술을 단순 이용한  
것에 불과한 것이며, 이러한 공지의 기술을 단순 이용하는 것은 이 분야에서 통상의 지식을  
가진 자라면 관용발명으로부터 용이하게 관용발명을 발명할 수 있는 것이다.

2. 청구항5에는 특정한 용어인 "일/또는" 을 사용하고 있으며, 청구항7은 "일정, 사각  
형 또는 다각형" 을 선택적으로 사용하고 있지 않습니다.

- 1 -

PAGE 1/2 \* ACVR AT 9/22/2006 12:33:39 PM [Eastern Daylight Time] \* SVR:USPTO-EFXXRF-2/11 \* DNIS:2738300 \* CSID:+1 212 319 5101 \* DURATION (mm:ss):38-22

Attorney Docket No. 04363/LH**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): Fumio KIDA et al  
Serial No. : 10/866,154  
Filed : June 10, 2004  
For : CHIP-TYPE SOLID  
ELECTROLYTIC CAPACITOR  
AND METHOD OF PRODUCING  
THE SAME  
Customer No.: 01933  
Art Unit : 2823  
Examiner : Thanh V. Pham  
Confirm. No.: 3296

**INFORMATION DISCLOSURE STATEMENT  
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UNDER 37 CFR 1.97(e) AND FEE (\$1.17(p))**

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Application No. 10/866,154  
Response to Office Action

Customer No. 01933

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Reg. No. 22,974

Encls.

Dated: September 22, 2006  
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220 FIFTH AVENUE  
NEW YORK, N.Y. 10001-7708  
Tel. No. (212) 319-4900  
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PTO/SB/08A (08-00)

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Substitute for Form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number		10/866,154		
				Filing Date		June 10, 2004		
				First Named Inventor		Fumio KIDA		
				Group Art Unit		2823		
				Examiner Name		Thanh V. PHAM		
Sheet	1	of	1	Attorney Docket Number		04363/LH		
<b>U.S. PATENT DOCUMENTS</b>								
Exam. Inits <sup>1</sup>	Cite No <sup>1</sup>	Document Number		Kind Code <sup>2</sup>	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	
<b>FOREIGN PATENT DOCUMENTS</b>								
Exam Inits <sup>1</sup>	Cite No <sup>1</sup>	offc <sup>3</sup>	Document Number <sup>4</sup>	Kind Code <sup>5</sup>	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T <sup>6</sup>
		KR	10-0191759		TOSHIBA CORPORATION	06-15-1999		
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Place a check here if English translation is attached.

DATE MAILED: **September 22, 2006**